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# Comparative analyses of seismic site conditions and microzonation of the major cities in Gangwon Province, Korea

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**Abstract.** To determine the seismic site conditions and microzonation of Chuncheon, Wonju and Gangneung cities in the Gangwon Province, Korea, the dispersion curves of Rayleigh waves were derived at 313 sites by the extended spatial autocorrelation (ESPAC) method. Using the shear-wave velocities (Vs) determined from dispersion curves, average depth to the bedrock ( $D_b$ ) and Vs at the top of the bedrock ( $Vs_b$ ), the overburden layer ( $Vs_o$ ) and the top 30 m depth layer ( $Vs_{30}$ ) were determined. The resonance frequencies ( $f_r$ ) were then computed using both  $D_b$  and  $Vs_o$ . The estimated averages of the three cities were  $13 \pm 7$  m for  $D_b$ ,  $472 \pm 109$  m/s for  $Vs_b$ ,  $248 \pm 44$  m/s for  $Vs_o$ ,  $411 \pm 157$  m/s for  $Vs_{30}$  and  $5.8 \pm 2.8$  Hz for  $f_r$ . Microzonation maps based on the proxy-based  $Vs_{30}$  indicated that the three cities were mainly categorised into National Earthquake Hazards Reduction Program (NEHRP) classes B, C and D, with a minor proportion of A. Although no area was estimated to be in class E using the proxy-based  $Vs_{30}$ , the  $Vs_{30}$  values derived from the recorded Rayleigh waves at 13 sites in Gangneung were less than 180 m/s. This indicates a greater vulnerability to seismic amplification during large earthquakes in this city, which had the smallest  $Vs_o$ ,  $Vs_{30}$  and  $f_r$ , and the greatest  $D_b$  of the three cities. Microzonation maps, together with information for  $f_r$ , can be effectively used for seismic risk assessments, urban planning, and disaster management.

**Key words:** microzonation, Rayleigh wave, seismic amplification, seismic site condition,  $Vs_{30}$ .

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#### Introduction

Site conditions play a significant role in the scale of ground shaking and the extent of earthquake damage. The thickness (average depth to bedrock,  $D_b$ ) and shear-wave velocity (Vs) of an unconsolidated soil column overlying bedrock provides essential information about the response of a site to large ground movements. The soft sediments in the soil layer can drastically modify the amplitude and frequency of propagating seismic waves. Large human populations that settle in lowaltitude areas predominantly covered by alluvium and fluvial deposits are at risk of severe human and economic damage due to substantial site amplification and nonlinear effects resulting in soil liquefaction. Therefore, determining the  $D_b$  and Vs of the unconsolidated soil column overlying bedrock could contribute important information to seismic hazard assessments (Steidl, 2000).

Seismic site conditions can be mapped using the average Vs of the top 30 m ( $Vs_{30}$ ) (Borcherdt, 1994).  $Vs_{30}$  has been a key parameter used to define site effects and soil amplification since the first implementation of the Uniform Building Code recommendations and the provisions of the National Earthquake Hazard Reduction Program (NEHRP) from the USA (ICC, 1997; BSSC, 1997). Later, the  $Vs_{30}$  was advocated as a predictive criterion for site amplification by many reliable organisations, including the Building Seismic Safety Council (BSSC, 2003), International Building Codes (ICC, 2000, 2003) and the Korean Seismic Design Standard (MOCT, 1997). During the last few decades, several environmentally friendly and cost-effective geophysical techniques have been developed to evaluate the Vs of near-surface sediments, particularly the top 30 m. Often

 $Vs_{30}$  is evaluated indirectly in broad areas using appropriate proxies. Among such proxies, topographic slope (Wald and Allen, 2007; Allen and Wald, 2009; Thompson and Wald, 2012), elevation (Chiou et al., 2008), local geology (Romero and Rix, 2001; Wills and Clahan, 2006; Scasserra et al., 2009), geomorphologic factors (e.g. convexity and texture) and geotechnical site information (Chiou et al., 2008; Seyhan et al., 2014) are commonly used.

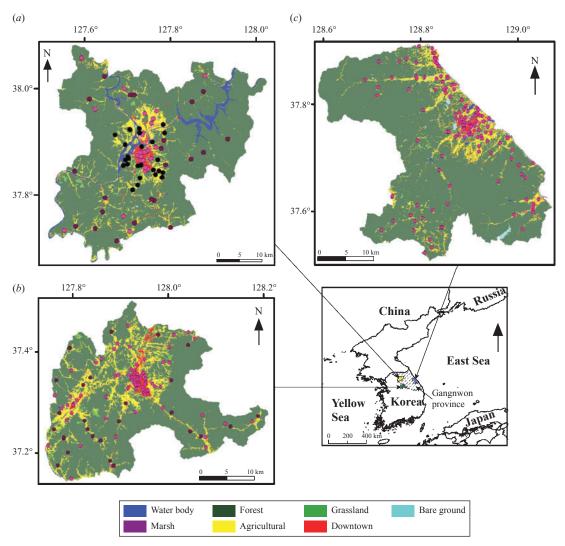
The Korean Peninsula (KP) lies in a region of low to moderate seismicity in the Far East, where the Pacific, Philippine and Eurasian plates meet. In recent years, seismic activity has increased in the KP, particularly in Gangwon Province that lies in the eastern Korean seismic zone (Figure 1; Houng and Hong, 2013; Chiu and Kim, 2004). A few moderate seismic events, such as the Uljin ( $M_W = 5.1$ ; Kang and Baag, 2004) and Odaesan ( $M_L = 4.5$ ; Jo and Baag, 2007) earthquakes have been triggered in the seismic zone in recent times. The inland areas of the KP consist of plains, valleys, hills and mountains, which are covered with soils of widely varying thickness, formed from weathering and fluvial activity. These residual soil columns could result in a large amount of ground shaking during strong seismic activity. More than 50% of the total population of Gangwon Province dwells in the Chuncheon, Wonju and Gangneung cities (KOSIS, 2015). The ground surfaces of these cities primarily consist of forests, agricultural land and downtown areas (Figure 1; KME, 2014). Most inhabitants live in the downtown and agricultural areas.

To analyse the seismic site conditions of these three major cities and microzonate them, we compiled previous passive

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**Fig. 1.** Locations of previous (pink and black circles) and new (dark purple circles) recording sites in (a) Chuncheon, (b) Wonju and (c) Gangneung cities of the Gangwon Province (hatched area), Korea, superimposed on land cover maps. The solid black circles denote the locations where passive seismic data were recorded for 5 min using four 1 Hz portable seismographs.

and active surface-wave data (Jung and Kim, 2014; Kim et al., 2014; Ali and Kim, 2016), and recorded additional data to enhance data quality and spatial coverage. From the one-dimensional (1D)  $V_S$  profiles determined from the dispersion curves of Rayleigh waves, average depths to the soft bedrock  $(D_b)$  and the  $V_S$  at the top of the bedrock  $(V_S)$ , we obtained the overburden layer  $(V_S)$  and  $V_S$ , as well as the resonance frequency  $(f_r)$ . Then, seismic microzonation maps were constructed based on the  $V_S$ , estimated using topographic proxies for each city. Finally, we compared the estimated values between the three cities as well as other cities or regions in the world with different topographic and geologic conditions in order to validate the applied method and analysis results.

## Methodology

The Vs of shallow geologic layers is generally determined by conventional exploration seismic methods and laboratory tests. To minimise environmental issues and budgetary constraints, we applied the extended spatial autocorrelation (ESPAC) method to obtain the dispersion curves of Rayleigh waves contained in active and passive seismic data (Okada, 2003). The spatial autocorrelation (SPAC) method has a fundamental advantage over the f-k method, because it extracts seismic energy propagating across the entire array without considering the noise direction

and the presence of single or multiple energy sources (Asten, 2006).

Microtremors are considered stochastic and stationary phenomena both in space and time, and can be presented as a summation of the angular frequencies  $\omega$  that arrive independently from multiple directions (Aki, 1957). These signals are a function of time, station separation r, and the orientation  $\theta$  of the recording positions. The wave energy contained in microtremors that propagate with a unique velocity at a given frequency can be defined by the SPAC coefficient  $\rho$  ( $\omega$ , r), which is the spatially averaged coherency of an omnidirectional plane wave recorded at a single pair of receiver locations:

$$\rho(\omega, r) = \frac{1}{2\pi} \int_{0}^{2\pi} exp\{(irk\cos(\theta - \varphi))\}d\theta, \tag{1}$$

where  $\varphi$  and k are the azimuth of the plane wave and angular wave number, respectively. Because the right side of Equation 1 is a Bessel function of the first kind of zero order,  $J_0$  (rk), the power spectra of microtremors at a particular station are used to obtain the plane-wave velocities. Because k relates to phase velocity  $J_0$  (rk) such that  $k = \frac{\omega}{c(\omega)}$ , the propagation velocity of Rayleigh waves can be expressed by the SPAC coefficient:

$$\rho(\omega, r) = J_0 \left( \frac{\omega r}{c(\omega)} \right). \tag{2}$$

Vs profiles were estimated from the dispersion curves of Rayleigh waves recorded using the ESPAC (Ling and Okada, 1993) method to facilitate data acquisition and avoid limitations due to array size. In the ESPAC method, no restrictions are imposed on the geometry of the array, so that seismic data recorded at different times using a different array size and receiver spacing can be jointly used to derive the SPAC coefficient in Equation 2.

To obtain a 1D Vs structure from the phase-velocity dispersion curves of Rayleigh waves for a layered-earth model, various inversion schemes have been attempted (Thomson, 1950; Haskell, 1953; Aki, 1957; Schwab, 1970). The phase velocity  $c(\omega)$  is expressed in a nonlinear implicit form as a function of the subsurface parameters, including the number of layers (N), P-wave velocity  $(v_p)$ , S-wave velocity  $(v_s)$ , density  $(\rho)$  and thickness (h) of each layer, as follows:

$$c_j = c(\omega_j; \nu_{p1}, \nu_{s1}, \rho_1, h_1, \dots, \nu_{pN}, \nu_{sN}, \rho_N, h_N),$$
 (3)

where subscript j denotes the discrete frequency for the phase velocity. To derive a Vs model from c ( $\omega$ ), Equation 3 is expanded using Taylor's Series and eliminating the higher-order terms from the equation. The difference between observed and estimated c ( $\omega$ ) for a model can be approximated by a first-order partial differential equation. The difference  $\Delta c_J$  between the observed and estimated c ( $\omega$ ) for the  $j^{\text{th}}$  frequency in an N-layered model is expressed as:

$$\Delta c_j = \sum_{i=1}^N \left[ \frac{\partial c_i}{\partial v_{pi}} \cdot \frac{\partial v_{pi}}{\partial v_{si}} + \frac{\partial c_j}{\partial v_{si}} + \frac{\partial c_j}{\partial \rho_i} \cdot \frac{\partial \rho_i}{\partial v_{si}} \right] \Delta v_{si} \ j = 1, 2, \dots M.$$

Phase velocities are computed for the M discrete frequencies for which the least-squares solution is required. To reduce the number of unknowns, Equation 4 needs to be constrained by setting a constant thickness of each layer, and assuming both  $v_{pi}$  and  $\rho_i$  as functions of  $v_{si}$  before the inversion (Xia et al., 1999; Okada, 2003). The damped least-squares method, which is a fast and stable iterative inversion scheme, was used to solve Equation 4 (Marquardt, 1963).

## Data acquisition and processing

## Previous data

In Chuncheon, passive and active surface waves were recorded using 1 Hz velocity sensors and 4.5 Hz vertical geophones from January 2011 to May 2013 (Figure 1a; Jung and Kim, 2014). At 25 sites, passive seismic data were recorded on four portable seismographs for 300 s at a sample rate of 250 Hz using the ESPAC configuration (Okada, 2003). In the field, one sensor was placed at the centre and the other three were positioned on the circumference of concentric circles, with radii of 5, 10, 20 and 40 m (Figure 1a). At 27 sites, including two replicated locations, both passive and active seismic signals were acquired for 2 or 8 s at a sample rate of 1000 or 500 Hz using the vertical geophones and a 24-channel engineering seismometer. The geophones were laid out in a linear or L-shaped array, with receiver intervals of 2 to 5 m.

The 4.5 Hz vertical geophones, recorder and receiver configurations used for Chuncheon city were also used to record passive and active seismic data at 78 sites in Wonju city in 2013 (Figure 1b; Kim et al., 2014) and 136 sites in Gangneung city in 2014 (Figure 1c; Ali and Kim, 2016). The

geophone intervals were 2 to  $4\,\mathrm{m}$  in Wonju and 3 to  $5\,\mathrm{m}$  in Gangneung. The optimal geophone intervals were chosen at each site based on the ground condition and security of the minimum penetration depth of  $40\,\mathrm{m}$ .

### Additional surveys

The spatial coverage of previous recordings was not dense enough to define the near-surface characteristics of shallow geologic layers in Chuncheon and Wonju, particularly in mountainous regions. Hence, to increase the spatial coverage and improve the images of the Rayleigh-wave dispersion curves, additional recordings were performed at 22 sites in Chuncheon and 27 sites in Wonju from November 2014 to January 2015 (Figure 1a, b). More than half of the additional sites were located at elevations of 150 m or higher to cover the sparsely sampled mountain regions, while the remaining 23 sites were located in low-altitude areas with lower sample densities. Both active and passive data were recorded at each station using the same acquisition equipment and configuration as the previous surveys (Jung and Kim, 2014; Kim et al., 2014).

### Data processing

The ESPAC method was used to determine the phase velocities of Rayleigh waves in both the 264 previous and 49 newly acquired records. Among the 313 sites, both passive and active data were available at 239 sites, for which SPAC coefficients were independently computed in the frequency range of 0–60 Hz using Equation 2. Then the dispersion images were combined to enhance signal-to-noise ratios, improve resolution, and make it easier to distinguish the fundamental mode from the higher modes of Rayleigh waves. At the remaining 74 sites, only passive data were used to obtain dispersion images. Dispersion curves of the fundamental mode were determined on smoothed velocity spectra yielded after the calculation of 3-point moving averages.

The Vs profiles were retrieved from the phase-velocity dispersion curves using the damped least-squares inversion method as described in the methodology section. For initial models, Vs values were set at 1.1 times the phase velocities of Rayleigh waves and depths were set as one-third of the corresponding wavelengths (Hayashi et al., 2006). The previous inversion models for sites in Chuncheon and Wonju consisted of 40 layers of 1 m thickness (Jung and Kim, 2014; Kim et. al., 2014). However, to generate Vs models for the sites in Gangneung, the number and thickness of layers were fixed at 20 and 2 m, respectively (Ali and Kim, 2016). We used the same parameters as those used in the Gangneung area to obtain Vs models for all three cities. The final Vs models were obtained by iteratively modifying the inversion models more than 20 times, which reduced the root-mean-square (RMS) errors to less than 5%.

From the 313 1D Vs profiles in the three cities, the  $D_b$ ,  $Vs_b$ ,  $Vs_o$ ,  $f_r$  and  $Vs_{30}$  were determined, after which the values of  $D_b$ ,  $Vs_b$  and  $Vs_o$  were verified through comparisons with 573 geologic logs (KRC, 2014; MOLIT, 2014; Figure 1), three P-wave profiles (Kim et al., 2015), two SH-wave refractions (Jung and Kim, 2014; Kim et al., 2014) and three multichannel analyses of surface waves (MASW) (Kim et al., 2015).

#### Results and discussion

Depth to bedrock ( $D_b$ )

Vs values were derived from the active and passive surfacewave methods to define  $D_b$  in the three cities. The bedrock in these cities predominantly consist of Precambrian gneiss and D Exploration Geophysics A. Ali and K. Y. Kim

schist and Mesozoic granite and granodiorite. The Vs ranges of 'soft bedrock' and 'engineering bedrock' have been defined as 400–700 m/s (Keçeli, 2012; Ali and Kim, 2016) and 700–1500 m/s (Ansal and Tönük, 2007), respectively. Accordingly, we used these Vs ranges to demarcate the soft bedrock boundaries (Ali and Kim, 2016). The soft bedrock is comprised mostly of moderately weathered rock ( $360 \le Vs \le 540$  m/s), but can also include soft rock ( $Vs \ge 680$  m/s; Sun et al., 2015).

From the seismic data recorded at 313 recording sites, only 277 Vs profiles with a maximum inverted Vs of 400 m/s or greater were incorporated to compute  $D_b$ . At 71 out of 72 recording sites in Chuncheon, the estimated average  $D_b$  ( $\overline{D_b}$ ) was  $12 \pm 5$  m. This updated value is 20% less than the previous estimation by Jung and Kim (2014), mainly because additional recordings were made at sparsely sampled high-altitude areas where  $D_b$  is generally shallow (Table 1; Ali, 2016). The  $\overline{D_b}$  were estimated to be  $11 \pm 5$ ,  $15 \pm 4$ ,  $9 \pm 3$  and  $21 \pm 8$  m in the agricultural, downtown, forest and bare ground areas, respectively. In Wonju, the  $\overline{D_b}$  was determined to be  $13 \pm 6$  m from the 105 Vs profiles, which is 19% less than the previous estimate (Kim et al., 2014). The estimated  $\overline{D_b}$  values for the agricultural, downtown and forest areas in this city were  $11 \pm 6$ ,  $15 \pm 6$  and  $8 \pm 3$  m, respectively (Table 1). The  $\overline{D_b}$  in Gangneung was previously estimated to be  $15 \pm 9$  m (Ali and Kim, 2016), whereas here the estimated  $\overline{D_b}$  values for the agricultural, downtown and forest areas were  $19 \pm 11$ ,  $16 \pm 4$  and  $13 \pm 8$  m, respectively (Table 1). Overall, the  $\overline{D_b}$  was estimated to be  $13 \pm 7$  m in the three major cities in Gangwon Province. With regard to land cover, the estimated  $\overline{D_b}$  values were  $13 \pm 8$ ,  $15 \pm 5$ ,  $11 \pm 7$  and  $21 \pm 8$  m in the agricultural, downtown, forest and bare ground areas, respectively.

The probability distribution curves of  $D_b$  values (Figure 2a) clearly show that Gangneung  $(\overline{D_b} = 15 \pm 9 \,\mathrm{m})$  has deeper bedrocks than Chuncheon  $(\overline{D_b} = 12 \pm 6 \,\mathrm{m})$  and Wonju  $(\overline{D_b} = 13 \pm 6 \,\mathrm{m})$ . The thicker overburden layers in Gangneung indicate a greater susceptibility to seismic amplification as recently proposed by Ali and Kim (2016). Greater  $D_b$  values were estimated in the downtown areas than in the agricultural and forest areas in all three cities. The presence of thick overburden layers in the downtown areas indicated a possible exposure of the local population to fatal levels of ground shaking during large earthquakes. In contrast, most forest areas with low  $D_b$  values were considered relatively safe from ground amplification.

Compared to the mode  $D_b$  value of 15 m for weathered rock depths previously determined from 183 in-situ seismic tests in Korea (Sun et al., 2012), the estimated  $\overline{D_b}$  values in this study were slightly lower. However, the  $\overline{D_b}$  recorded here are greater than the average depth of 10 m for the shale bedrock in western Sydney, Australia, determined using the MASW method (Tokeshi et al., 2013). Our estimates of  $D_b$  were also greater than the 0.7 to 11.7 m values reported in Olathe, United States, using the MASW method (Miller et al., 1999) and 5 to 15 m in Ottawa, Canada (Pugin et al., 2013), determined by SH-wave reflection profiling. Our estimates were in agreement with the  $\overline{D_h}$ of  $12 \pm 11$  m for weathered rocks composed of granite, metasediments and gneisses (Vs = 360 m/s; Anbazhagan et al., 2013) in Australia, China and India using surfacewave methods. Although our estimates of  $D_b$  are within a reasonable range, direct comparisons with  $D_b$  values estimated in other regions may be misleading because definitions of lithology and of bedrock (based on the Vs gradient) may not be the same as ours.

Vs of overburden (Vs<sub>o</sub>)

The overburden layers in the Chuncheon, Wonju and Gangneung cities are predominantly composed of Quaternary alluvium and fluvial terrace deposits (Lee et al., 1974; Park et al., 1989; Kihm and Hwang, 2011). The overburden layer includes fill, fluvial soil and weathered soil formed as a result of chemical or physical weathering of the mother rock in the study areas. The estimated average Vs values for the soft overburden layer  $(\overline{Vs_o})$  in Chuncheon and Wonju were  $252 \pm 46$  and  $247 \pm 46$  m/s, respectively (Table 1), which are 12 and 15% less than the previous estimates (Jung and Kim, 2014; Kim et al., 2014). The updated  $\overline{Vs_o}$  values were lowest in bare ground areas  $(225 \pm 12 \text{ m/s})$ , followed by agricultural  $(246 \pm 47 \text{ m/s})$ , downtown (260  $\pm$  41 m/s) and forest (269  $\pm$  49 m/s) areas in Chuncheon. Except for the sites in forest areas, where  $D_b$ values were lowest, the estimated  $\overline{Vs_o}$  values were less than 265 m/s. The updated  $\overline{Vs_o}$  in the agricultural, downtown and forest areas in Wonju were  $242 \pm 48$ ,  $253 \pm 42$  and  $245 \pm 51$  m/s, respectively. The  $\overline{Vs_o}$  was previously estimated to be 247  $\pm$ 40 m/s in Gangneung (Ali and Kim, 2016), where the estimated  $\overline{Vs_o}$  values were 234  $\pm$  41, 253  $\pm$  36 and 252  $\pm$  39 m/s for the agricultural, downtown and forest areas, respectively. The overall  $\overline{Vs_o}$  for the three cities was 248  $\pm$  44 m/s. With regard to surface cover, the  $Vs_o$  estimates were  $242 \pm 46$ ,  $254 \pm 40$ ,  $253 \pm 43$  and  $225 \pm 12$  m/s for the agricultural, downtown, forest and bare ground areas, respectively. The Vs<sub>o</sub> lognormal distribution curves (Figure 2b) reveal that the probability of lower  $Vs_o$  values in Gangneung ( $\overline{Vs_o} = 247 \pm 40 \,\text{m/s}$ ) is higher than in Chuncheon  $(\overline{Vs_o} = 252 \pm 50 \text{ m/s})$  and Wonju  $(\overline{Vs_o} =$  $247 \pm 47 \,\text{m/s}$ ).

The estimated  $\overline{Vs_o}$  values were consistent with estimations in Bangalore, India  $(\overline{Vs_o} = 259 \pm 41 \text{ m/s};$  Anbazhagan and Sitharam, 2009), but slightly less than the average Vs of 280 m/s previously determined for alluvial soil in Korea by Sun et al. (2012). This small disagreement may be due to the differences in the methodology used, lithology and the sparse sampling regimes adopted in other studies.

## Vs of bedrock (Vs<sub>b</sub>)

The updated average Vs was estimated to be  $478 \pm 108$  m/s for the Precambrian and Mesozoic bedrocks  $(\overline{Vs_b})$  in Chuncheon, which was 7% less than the previous estimate by Jung and Kim (2014). In the agricultural, downtown, forest and bare ground areas, the newly estimated  $\overline{Vs_b}$  values were  $451 \pm 60$ ,  $426 \pm 26$ ,  $633 \pm 152$  and  $421 \pm 6$  m/s, respectively. In Wonju, the overall updated  $\overline{Vs_h}$  of  $459 \pm 77$  m/s was 20% less than the previous estimate (Kim et al., 2014). This major enhancement was accomplished by both supplementary recording in sparsely sampled areas and careful reprocessing, including the improved determination of dispersion curves and their inversion for Vs in both cities. The newly derived  $\overline{Vs_b}$  values were  $473 \pm 90$ ,  $444 \pm 63$  and  $464 \pm 71$  m/s in the agricultural, downtown and forest areas, respectively. In Gangneung, the  $\overline{Vs_b}$  was estimated to be  $482 \pm 134$  m/s in our previous study (Ali and Kim, 2016). The  $\overline{Vs_b}$  values were  $463 \pm 145$ ,  $437 \pm 29$  and  $507 \pm 145$  m/s in the agricultural, downtown and forest areas, respectively. The overall  $\overline{Vs_b}$  values for all three cities was estimated to be  $472 \pm 109$  m/s. With regard to land cover, the  $\overline{Vs_b}$  values were estimated to be  $462 \pm 98$ ,  $439 \pm 52$ ,  $518 \pm 144$  and  $421 \pm 6$  m/s in the agricultural, downtown, forest and bare ground areas, respectively. The Vs<sub>b</sub> lognormal probability distribution curves (Figure 2c) show that many sites in Wonju ( $\overline{Vs_b} = 459 \pm 65 \text{ m/s}$ ) have lower  $Vs_b$  values than Chuncheon  $(\overline{Vs_b} = 477 \pm 91 \text{ m/s})$ and Gangneung ( $\overline{Vs_b} = 480 \pm 98 \text{ m/s}$ ).

Table 1. Summary of the depth to the bedrock ( $D_b$ ),  $V_S$  of the bedrock ( $V_{S_b}$ ),  $V_S$  of the overburden ( $V_{S_o}$ ), average  $V_S$  to the upper 30 m depth ( $V_{S_S}$ ) and resonance frequency ( $f_r$ ) in the major cities in Gangwon Province,

Study area	Land cover		$D_b$ (m)	m)			$Vs_b$ (m/s)	m/s)			$Vs_o$ (	$Vs_o$ (m/s)			$Vs_{30}$ (m/s)	(s/m/			$f_r$ (Hz)	(z)	
		Ns	Min	Max	μ	Ns	Min	Max	μ	Ns	Min	Max	μ	Ns	Min	Max	μ	Ns	Min	Max	ή
	Agricultural	41	4	24	11	41	400	929	451	41	109	330	246	42	234	950	447	41	2.6	13.0	6.4
	Downtown	13	8	22	15	13	402	486	426	13	195	339	260	13	281	592	388	13	2.7	7.1	7.7
Chuncheon	Forest	13	4	12	6	13	415	935	633	13	181	352	269	13	485	927	642	13	5.3	12.9	8.4
	Bare ground	4	14	30	21	4	414	427	421	4	208	237	225	4	233	338	287	4	1.9	4.2	3.0
	Overall	71	4	30	12	71	400	935	478	71	109	352	252	72	233	950	462	71	1.9	13.0	6.3
Wonju	Agricultural	4	9	36	11	44	401	804	473	44	142	350	242	4	246	837	449	4	1.8	13.5	6.3
	Downtown	45	9	28	15	45	403	813	4 4 4	45	161	369	253	45	256	869	390	45	2.4	14.0	8.4
	Forest	16	4	14	∞	16	404	633	464	16	142	355	245	16	385	969	528	16	5.0	11.2	7.7
	Overall	105	4	36	13	105	401	813	459	105	142	369	247	105	246	837	436	105	1.8	14.0	5.9
Gangneung	Agricultural	29	4	42	19	29	403	1190	463	28	143	344	234	41	131	946	302	28	1.2	10.9	4.0
	Downtown	18	8	24	16	18	407	489	437	18	208	322	253	29	166	561	314	18	2.2	10.1	4.3
	Forest	54	4	42	13	54	403	1230	507	53	188	325	252	99	142	698	424	53	1.3	12.0	6.4
	Overall	101	4	42	15	101	403	1230	482	66	143	344	247	136	131	946	364	66	1.2	12.0	5.4
Overall	Agricultural	114	4	42	13	114	400	1190	462	113	109	350	242	127	131	950	401	113	1.2	13.5	5.8
	Downtown	9/	9	28	15	9/	402	813	439	9/	161	369	254	87	166	869	364	92	2.2	14.0	7.7
	Forest	83	4	42	11	83	403	1230	518	82	142	355	253	95	142	927	471	82	1.3	12.9	7.6
	Bare ground	4	14	30	21	4	414	427	421	4	208	237	225	4	233	338	287	4	1.9	4.2	3.0
	Overall	277	4	42	13	277	400	1230	472	275	109	369	248	313	131	950	411	275	1.2	14.0	5.8

The  $\overline{Vs_b}$  values determined in this study for the three cities were much less than the 650 m/s previously determined for weathered rocks in Korea by Sun et al. (2012). However, our estimated  $\overline{Vs_b}$  values were greater than estimates obtained in many other locations, including Ottawa, Canada ( $\overline{Vs_b}$  = 140 m/s; Pugin et al., 2013); Olathe, Kansas, United States ( $\overline{Vs_b}$  = 244 m/s; Miller et al., 1999); and Bangalore, India ( $\overline{Vs_b}$  = 330 m/s; Anbazhagan and Sitharam, 2009). The new estimate of  $\overline{Vs_b}$  was also greater than the threshold Vs of 300 m/s for the engineering bedrock in Japan (Annaka et al., 1997; IISEE, 2016). Similar to the case of  $\overline{Vs_o}$ , the discrepancy with the results in Sun et al. (2012) may have arisen from the difference in the methodology used, lithology and the definition of bedrock.

## Resonance frequency (f<sub>r</sub>)

To determine the resonance and fundamental frequencies of a site, several geophysical methods, including soil-to-rock spectral ratios (Borcherdt, 1970), horizontal-to-vertical spectral ratios (Nakamura, 1988; Lermo and Chávez-García, 1993) and generalised inversions (Iwata and Irikura, 1988; Boatwright et al., 1991), are often applied. In this study, the site responses of the three major cities were determined based on the  $f_r$  derived from the Vs profiles at each site using the following relationship (Haskell, 1960):

$$f_r = \frac{Vs_o}{4D_b},\tag{5}$$

For the computation of  $f_r$  using Equation 5, we used the values of  $Vs_o$  and  $D_b$  at 71, 105 and 99 sites in Chuncheon, Wonju and Gangneung, respectively. The inverse relationships between  $f_r$  and  $D_b$  indicated in Equation 5 were evident on the  $f_r$ – $D_b$  plots (Figure 4a). The correlation coefficients (r) were 0.85, 0.82 and 0.95 for Chuncheon, Wonju, and Gangneung, respectively, for the constrained power regression of:

$$f_r = \alpha D_b^{-1},\tag{6}$$

where  $\alpha$  is a quarter of  $Vs_o$ . Using the values of 59.49, 59.13 and 63.73 m/s for  $\alpha$  in Equation 6,  $\overline{Vs_o}$  was calculated to be 238, 237 and 255 m/s for Chuncheon, Wonju and Gangneung, respectively. Compared to the previous estimations of  $\overline{Vs_o}$  obtained from the inverted Vs profiles, the errors were less than 6%. Both the small errors in  $\overline{Vs_o}$  and the large r values of the regression analyses may verify our results for  $Vs_o$  and  $D_b$  derived from the 1D Vs profiles.

Next, the computed  $f_r$  values were used to evaluate seismic site responses according to the type of land cover (Table 1). In Chuncheon, the computed  $f_r$  varies from 1.9 to 13.0 Hz, with an average of  $6.3 \pm 2.7$  Hz (Figure 3a). Here, the lowest average  $f_r$  ( $f_r$ ) was observed at 3.0  $\pm$  1.1 Hz in bare ground, followed by the downtown  $(4.7 \pm 1.5 \, \text{Hz})$ , agricultural  $(6.4 \pm 2.7 \, \text{Hz})$ and forest  $(8.4 \pm 2.4 \,\mathrm{Hz})$  areas. In Wonju, the  $f_r$  values were in the range of 1.8–14.0 Hz, with an average of  $5.9 \pm 2.6$  Hz (Figure 3b). In the agricultural, downtown and forest areas of the city, the  $\overline{f_r}$  values were 6.3  $\pm$  2.7, 4.8  $\pm$  2.4 and 7.7  $\pm$  1.6 Hz, respectively. In Gangneung, the overall  $\overline{f_r}$  was previously calculated to be  $5.4 \pm 2.9$  Hz (Figure 3c; Ali and Kim, 2016). Here, the estimated  $\overline{f_r}$  values were  $4.0 \pm 2.4$ ,  $4.3 \pm 1.8$  and  $6.4 \pm 3.1 \,\mathrm{Hz}$  in the agricultural, downtown and forest areas, respectively. Overall, the  $\overline{f_r}$  was  $5.8 \pm 2.8 \,\mathrm{Hz}$  for all three cities. With regard to land cover, the  $\overline{f_r}$  values were 5.8  $\pm$  2.8,  $4.7 \pm 2.1$ ,  $7.0 \pm 2.9$  and  $3.0 \pm 1.1$  Hz in the agricultural, downtown, forest and bare ground areas, respectively. The  $f_r$  lognormal probability distribution curves (Figure 2d)

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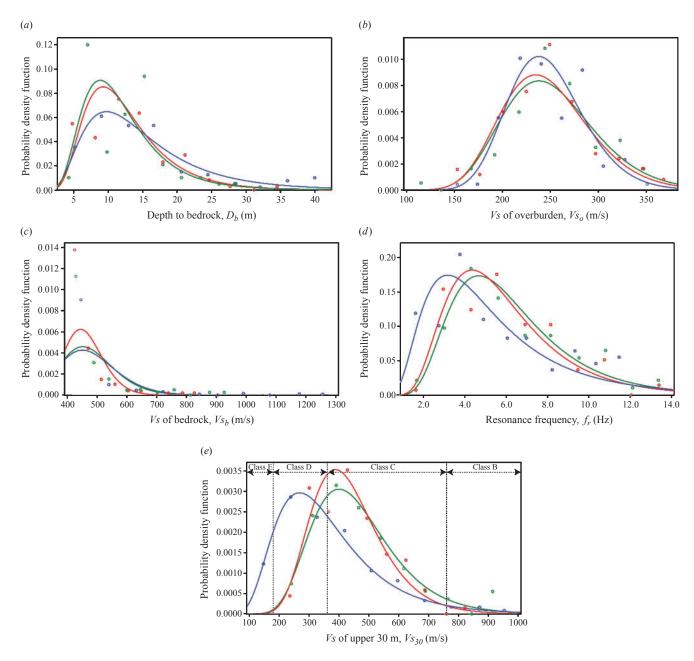


Fig. 2. Lognormal distributions (circles) and probability density functions (lines) of (a) depth to the bedrock  $(D_b)$ , (b) Vs of the overburden  $(Vs_o)$ , (c) Vs of the bedrock  $(Vs_b)$ , (d) resonance frequency  $(f_r)$  and (e) Vs of upper 30 m depth  $(Vs_{30})$ , for Chuncheon (green), Wonju (red) and Gangneung (blue), respectively. The National Earthquake Hazards Reduction Program (NEHRP) seismic site classes (FEMA, 1995) are overlain on the  $Vs_{30}$  distribution plot (e).

clearly show that the probability of lower  $f_r$  values (<4 Hz) in Gangneung ( $\overline{f_r} = 5.5 \pm 3.6$  Hz) is greater than in Chuncheon ( $\overline{f_r} = 6.3 \pm 3.0$  Hz) and Wonju ( $\overline{f_r} = 5.9 \pm 2.8$  Hz). This indicates greater vulnerability to seismic amplification in Gangneung.

In general, the computed  $\overline{f_r}$  values for the three cities were slightly less than the average value of  $6.6\pm 8.4\,\mathrm{Hz}$  which was previously estimated using data at 72 sites (Sun et al., 2007). However, our estimated  $\overline{f_r}$  were much greater than the fundamental frequencies obtained largely by the horizontal-to-vertical spectral ratio method of ambient seismic noise recorded at 126 seismic stations in Italy  $(2.9\pm 2.2\,\mathrm{Hz};$  Luzi et al., 2011); 35 sites in Kissamos, Greece  $(2.1\pm 2.5\,\mathrm{Hz};$  Moisidi et al., 2015); and 28 sites in Switzerland  $(2.0\pm 2.3\,\mathrm{Hz};$  Michel et al., 2014). The difference in geology, sampling density, and the methodology used may be responsible for the discrepancy in  $\overline{f_r}$ .

Vs of the top 30 m depth layer ( $Vs_{30}$ )

 $Vs_{30}$  values were calculated at 72, 105 and 136 sites in Chuncheon, Wonju and Gangneung, respectively, using the following relationship (Borcherdt, 1994):

$$Vs_{30} = \frac{30}{\sum_{i=1}^{n} \frac{D_i}{V_{s_i}}},\tag{7}$$

where  $D_i$  and  $S_i$  indicate the estimated thickness and Vs of the i-th layer, respectively (Table 1).

The estimated average  $Vs_{30}$  ( $\overline{Vs_{30}}$ ) in Chuncheon was  $462 \pm 154$  m/s, which is 15% greater than the previous estimate in Jung and Kim (2014). This updated estimation has been improved mainly by including supplementary data from high-altitude areas where samples were scarce. In the agricultural, downtown, forest and bare ground areas, the  $\overline{Vs_{30}}$  values were

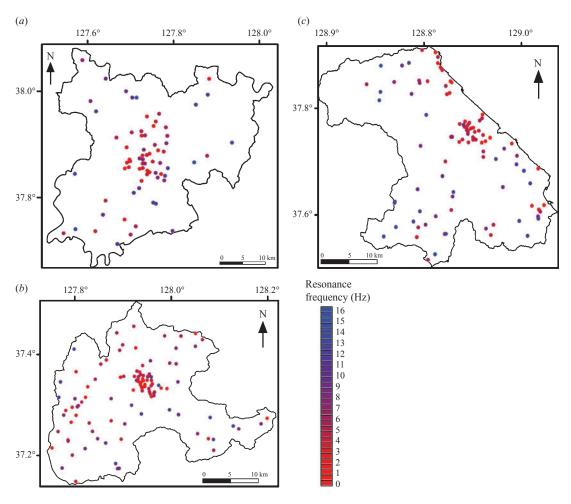
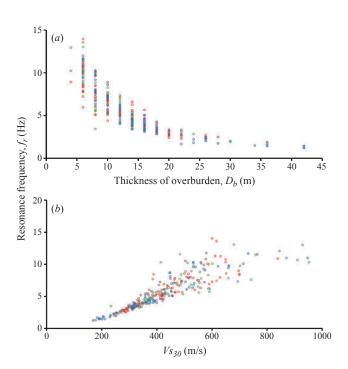


Fig. 3. Maps showing the variation in the resonance frequencies  $(f_r)$  in (a) Chuncheon, (b) Wonju and (c) Gangneung. The solid circles denote the corresponding  $f_r$  at that particular recording site.



**Fig. 4.** Correlations of resonance frequency  $(f_r)$  with (a) depth to the bedrock  $(D_b)$ , and (b) Vs of upper 30 m depth  $(Vs_{30})$  in Chuncheon (green circles), Wonju (red circles) and Gangneung (blue circles), respectively.

 $447 \pm 132$ ,  $388 \pm 87$ ,  $642 \pm 146$  and  $287 \pm 52$  m/s, respectively. The new estimate of  $\overline{Vs_{30}}$  of  $436 \pm 125$  m/s in Wonju is 4% larger than the previous estimate (Kim et al., 2014). Here, the  $\overline{Vs_{30}}$  values were 449  $\pm$  136, 390  $\pm$  103 and 528  $\pm$  92 m/s in the agricultural, downtown and forest areas, respectively. The  $\overline{Vs_{30}}$ in Chuncheon and Wonju were comparable to the  $460 \pm 244$  m/s determined by seismic tests at 72 sites in Korea (Sun et al., 2007). The  $\overline{Vs_{30}}$  in Gangneung was estimated to be  $364 \pm 169$  m/s in our previous study (Ali and Kim, 2016), while here the  $\overline{Vs_{30}}$  values were  $302 \pm 153$ ,  $314 \pm 102$  and  $424 \pm 182$  m/s in the agricultural, downtown and forest areas, respectively. These  $\overline{Vs_{30}}$  values were lower than those estimated in Chuncheon and Wonju, and previous nationwide data (Sun et al., 2007). The lower  $\overline{Vs_{30}}$  in Gangneung may be due to deeper bedrock depths. The Vs<sub>30</sub> probability distribution curve (Figure 2e) for Gangneung  $(\overline{Vs_{30}} = 364 \pm 174 \text{ m/s})$  lies in a region of values lower than the respective curves for Chuncheon ( $\overline{Vs_{30}} = 462 \pm 148 \text{ m/s}$ ) and Wonju ( $\overline{Vs_{30}} = 436 \pm 125 \text{ m/s}$ ). The areas under the Vs probability curve less than or equal to 360 m/s (Class D) and 180 m/s (class E) thresholds are ~33% and 15%, respectively.

The  $\overline{Vs_{30}}$  for all three cities were estimated to be  $411\pm157\,\mathrm{m/s}$ , with  $\overline{Vs_{30}}$  values of  $401\pm155$ ,  $364\pm106$ ,  $471\pm182$  and  $287\pm52\,\mathrm{m/s}$  in the agricultural, downtown, forest and bare ground areas, respectively. The  $\overline{Vs_{30}}$  values were generally in a reasonable range compared to data for 52 sites in Parkfield, the United States ( $371\pm142\,\mathrm{m/s}$ ; Thompson et al., 2010); 44 sites in north-western Turkey ( $409\pm119\,\mathrm{m/s}$ ;

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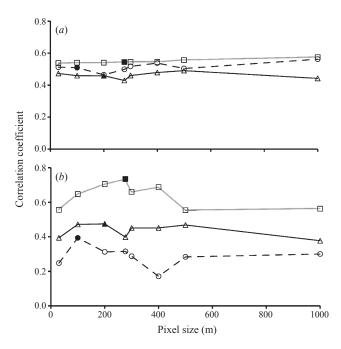
Karagoz et al., 2015); 126 sites in Italy  $(509 \pm 253 \text{ m/s}; \text{ Luzi})$  et al., 2011); and 50 sites in Australia  $(569 \pm 367 \text{ m/s}; \text{ Kayen})$  et al., 2015).

To verify  $Vs_{30}$  as a benchmark for seismic site conditions, correlation coefficients with  $f_r$  were examined (Figure 4b). The r values were estimated to be 0.82, 0.87 and 0.92 for Chuncheon, Wonju and Gangneung, respectively. The good correlations indicate that  $Vs_{30}$  has sufficient predictive power to assess seismic amplification risks when information for  $f_r$  and  $D_b$  is unavailable.

### Correlation of Vs<sub>30</sub> with elevation and topographic slope

The linear correlations of  $Vs_{30}$  with topographic slope and elevation were examined to find appropriate proxies for site conditions. To determine the optimum pixel sizes for the correlations, linear regression analyses of  $Vs_{30}$  were performed with the proxies on square pixels of 30, 100, 200, 277, 300, 400, 500 and 1000 m digital elevation models. For this range of pixel sizes, the r values of  $Vs_{30}$  with the elevation were in the ranges of 0.46–0.56, 0.43–0.49 and 0.54–0.57 for Chuncheon, Wonju and Gangneung, respectively (Figure 5a), while the r values of  $Vs_{30}$  with the topographic slope ranged from 0.17 to 0.39, 0.38 to 0.48 and 0.55 to 0.73, respectively (Figure 5b).

Although elevation is more strongly correlated with  $Vs_{30}$  than topographic slope, the former proxy is less sensitive to pixel size, with the range of r values being three times larger for the latter proxy than the former (Figure 5). Accordingly, the optimum pixel sizes were determined based on the topographic slope, and were 100, 200 and 277 m for Chuncheon, Wonju and Gangneung, respectively (Figure 5). These pixel sizes are less than 1 km, which was the value previously adopted for Chuncheon in Jung and Kim (2014) and Wonju in Kim et al. (2014). This may be due to additional recordings in high-altitude areas with variable topographic slope. The pixel sizes used in this study were at an intermediate scale between the 30 m previously adopted for the Santiago de Chile Basin (Pilz et al., 2010) and



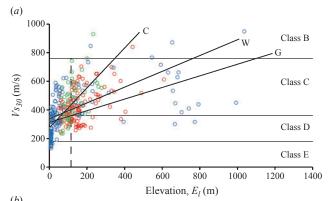
**Fig. 5.** Correlations of Vs of upper 30 m depth  $(Vs_{30})$  with (a) elevation and (b) topographic slope at various pixel sizes in Chuncheon (circles on dashed line), Wonju (triangles on solid black line) and Gangneung (squares on solid grey line), respectively. The filled symbols represent the particular pixel size used for the multiple regression.

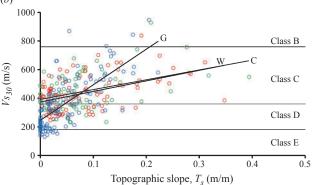
1 km applied in the United States, Taiwan, Italy and Australia (Wald and Allen, 2007).

The optimum coefficients were determined for each city to enable the calculation of  $Vs_{30}$  in the multiple regression analyses (Figure 6):

$$Vs_{30} = A + BE_l + CT_s, \tag{8}$$

where A, B, and C are coefficients that must be estimated, and the proxies  $E_l$  and  $T_s$  represent the elevation and topographic slope at a specific sites, respectively. Before determining the coefficients for each city (Table 2), four outliers were removed from the 72 estimated values of  $Vs_{30}$  in Chuncheon, and three outliers were removed from the 105 estimates in Wonju. The standard errors (SEs) of the regressions were 117, 104 and 110 m/s for Chuncheon, Wonju,and Gangneung, respectively. The relatively large SE values indicate that proxy-based empirical relationships can only be applied regionally for the purpose of perspective. The mean absolute errors between the proxy-based  $Vs_{30}$  and the  $Vs_{30}$  derived from the inverted Vs profiles were





**Fig. 6.** Computed Vs of upper 30 m depth  $(Vs_{30})$  versus (a) elevation and (b) topographic slope for Chuncheon (green circles; 'C'), Wonju (red circles; 'W') and Gangneung (blue circles; 'G'), with the corresponding linear regression lines labelled by C, W and G, respectively. The vertical dashed line in (a) indicates the  $Vs_{30}$  corresponding to the boundaries between classes C and D. Horizontal lines of  $Vs_{30}$  demarcating the site classes are also superimposed.

Table 2. Coefficients of the linear regression in Equation 8 for the major cities in Gangwon Province, Korea.

Coefficients	Chuncheon	Wonju	Gangneung
A	288	342	243
В	1.24	0.31	0.17
C	78	473	2090

 $87\pm60,~82\pm62$  and  $85\pm68\,\text{m/s}$  at recording stations in Chuncheon, Wonju and Gangneung, respectively.

#### Seismic microzonation

Because the spatial density of the recording sites was not high enough to construct accurate microzonation maps, a proxybased  $Vs_{30}$  was determined at the centre of regularly spaced grids using Equation 8 with the derived coefficients (Table 2). As determined in the previous section, the lengths of the sides of the square grids were fixed to 100, 200 and 277 m for Chuncheon, Wonju and Gangneung, respectively.

The resulting microzonation maps for the three major cities based on the NEHRP site classification displayed strong correlations with the topographic data (Figure 7). The ground primarily consisted of classes B (31%), C (67%) and D (1%), with a smaller proportion of A (< 1%) in Chuncheon (Figure 7a). Mainly due to its high elevation (50 to 608 m; Figure 6a) and topographic slope (0.08 to 0.31 m/m; Figure 6b), most of the ground in Wonju was classified in class C (97%), with a small amount in B (2%) and a very small amount in class D (< 1%). Based on previous analyses of  $Vs_{30}$  (Ali and Kim, 2016), the ground in Gangneung consists of classes B (37%), C (45%) and D (18%).

The site classification based on the proxy-based  $Vs_{30}$  may be misleading at a small scale. Although no class E was

indicated from the above analyses, the  $Vs_{30}$  values derived from the Rayleigh-wave dispersion curves recorded at 13 sites in Gangneung were less than 180 m/s, which is the boundary between classes D and E (Figure 6a). This discordance arose from the wide range of inverted  $Vs_{30}$  values at low altitudes. The altitude corresponding to a  $Vs_{30}$  of 180 m/s on the regressed line was 115 m. This strongly indicates that areas in class E should exist in the city. Simply by assuming the normal distribution of  $Vs_{30}$ , 16% of the area determined as class D based on the proxy-based  $Vs_{30}$  is probably in class E. Both the high proportion of class D category surface cover and the existence of an inverted  $Vs_{30}$  less than 180 m/s indicate that ground amplification and soil liquefaction risks during earthquakes will be higher in Gangneung than in the other two cities

Overall, using the proxy-based  $Vs_{30}$  at 150 507 sites revealed that the three cities could mainly be classified into surface cover of classes B (27%), C (69%) and D (3%), with minor proportions of A (< 1%). The downtown and agricultural areas that were mostly located at low-altitudes, and were composed of Quaternary alluvium and fluvial terrace deposits, were categorised as classes C and D. This suggests that the ground amplification risks in these areas are likely to be greater than the in the high-altitude forest areas of site classes A and B.

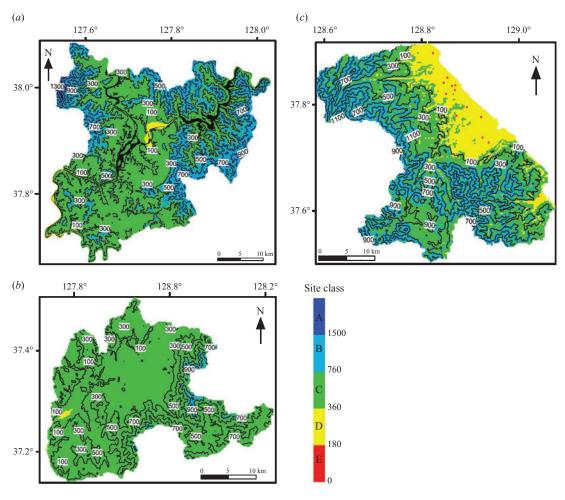


Fig. 7. Seismic microzonation maps based on the 1994 seismic site classes of the National Earthquake Hazards Reduction Program (NEHRP) (FEMA, 1995) for (a) Chuncheon, (b) Wonju and (c) Gangneung. The recording sites where the Vs of upper 30 m depth ( $Vs_{30}$ ), derived from the Rayleigh-wave dispersion curves, were less than 180 m/s are indicated with red circles. Contour lines at intervals of 200 m are superimposed on the microzonation maps and illustrate the coherence between the elevation and seismic site classes.

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## **Conclusions**

In three major cities (Chuncheon, Wonju, and Gangneung) in Gangwon Province, Korea, the  $\overline{D_b}$  was  $13\pm7$ ,  $\overline{Vs_b}$  was  $472\pm109$  m/s,  $\overline{Vs_o}$  was  $248\pm44$  m/s,  $\overline{Vs_{30}}$  was  $411\pm157$  m/s and  $\overline{f_r}$  was  $5.8\pm2.8$  Hz. With regard to land cover, the estimated  $Vs_{30}$  was lowest for the bare ground  $(287\pm52$  m/s), followed by the downtown  $(364\pm106$  m/s), agricultural  $(401\pm155$  m/s) and forest regions  $(471\pm182$  m/s). The strong correlations between  $Vs_{30}$  and  $f_r$  indicate that  $Vs_{30}$  has sufficient predictive power to evaluate seismic amplification risks when information for  $f_r$  and  $D_b$  is unavailable.

The microzonation maps based on the proxy-based  $Vs_{30}$  at 150 507 sites revealed that the surface cover of the three cities could mainly be categorised into NEHRP site classes B (27%), C (69%), and D (3%), with minor proportions of A (<1%). Most areas (97%) in Wonju were within class C, while more than one-third of Chuncheon and Gangneung were in class B. The downtown and agricultural areas, with low  $f_r$  values were categorised as classes C and D, which indicates a greater risk of ground amplification than the high-altitude forest areas of site classes A and B. These maps, together with information regarding the  $f_r$ , can effectively be used in seismic risk assessment, urban planning and disaster management in these major cities.

Of the cities studied here, Gangneung had the least  $Vs_o$ ,  $Vs_{30}$  and  $f_r$ , and the greatest  $D_b$ . The  $Vs_{30}$  profiles derived at 13 sites in Gangneung were less than 180 m/s, although no area was estimated to be in class E using the proxy-based  $Vs_{30}$ . This indicates that of the cities studied here, Gangneung has the highest susceptibility to ground shaking during large earthquakes.

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